

# Modeling Epidemics as Chemical Reaction Processes

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Yannis C. Yortsos  
and

Assad Oberai

(in collaboration with Harisankar Ramaswamy)

**Viterbi vs. Pandemics!**



**USC** University of  
Southern California

**USC Viterbi**  
School of Engineering

# A Series on COVID-19

## VITERBI VS. PANDEMICS! A Lecture Series by Viterbi Faculty

### MODELING EPIDEMICS AS A CHEMICAL REACTION PROCESS

September 10, 2020 | 6pm PT

Dean Yannis C. Yortsos  
Vice Dean Assad Oberai

### FLUID DYNAMICS OF THE SPREAD OF COVID-19

September 17, 2020 | 6pm PT

Prof. Ivan Bermejo-Moreno,  
Prof. Fokion Egolfopoulos, Prof. Mitul Lohar

### BIOLOGY AND DISINFECTION FOR COVID-19

September 24, 2020 | 6pm PT

Professor Andrea Armani

### AUTOMATION TECHNOLOGIES FOR ASSURING HUMAN SAFETY DURING COVID-19 PANDEMIC

October 1, 2020 | 6pm PT

Professor Satyandra Kumar Gupta

### ESTIMATION OF RISK

October 8, 2020 | 6pm PT

Professor Bhaskar Krishnamachari

### PREDICTIONS ON COVID-19 TO THE CDC

October 15, 2020 | 6pm PT

Prof. Vasilis Marmarelis,  
Prof. Viktor Prasanna, Ajitesh Srivastava

### VACCINE DEVELOPMENT

October 22, 2020 | 6pm PT

Professor Pin Wang

### MISINFORMATION DETECTION; MITIGATION ON COVID-19

October 29, 2020 | 6pm PT

Professor Yan Liu

### DIGITAL CONTACT TRACING

November 5, 2020 | 6pm PT

Professor Cyrus Shahabi

### PROTEIN ENGINEERING BY DIRECTED EVOLUTION, AS RELATED TO COVID-19

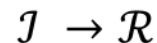
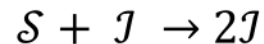
November 12, 2020 | 6pm PT

Professor Richard Roberts





Lecture Series by  
Viterbi faculty  
TA: Melanie McMullan  
[macmulla@usc.edu](mailto:macmulla@usc.edu)

# Human-Human Contagion

- Minimally requires: Susceptible ( $\mathcal{S}$ ), Infected ( $\mathcal{I}$ ), and Recovered ( $\mathcal{R}$ ) (includes perished)\*
- Important Variables? Number/Area. Key to infection is *proximity*.
- Need to model how (the rates by which) these populations covert to one another.



## Analogies with chemical reaction processes

Different sub-populations		chemical species
Number densities (people/area)		molecular concentrations
Infection rates		chemical reaction rates
Spatial transport		advective and diffusive (or dispersive) fluxes

\* One can also subdivide further to asymptomatic, secondary infections, etc.

# The General Model

$$\begin{aligned} & \text{Advection} \quad \text{Diffusion} \quad \text{Reaction} \\ \frac{\partial \mathcal{N}_i}{\partial T} + \nabla \cdot (\mathbf{q} \mathcal{N}_i) &= -\nabla \cdot (\mathcal{D}_i) + \mathcal{R}_i \quad (i = S, I, R) \\ \mathcal{D}_i &= -D\rho \nabla (\mathcal{N}_i/\rho) \end{aligned}$$

- $\mathcal{N}_i$  is density (number/area) of species  $i$ ,  $\mathbf{q}$  is advective velocity
  - $\mathcal{D}_i$  is diffusive (or dispersive) flux of  $i$ ,
  - $\mathcal{R}_i$  is reaction rate of species (e.g. that converts populations due to infection)
- Also,

$$\mathcal{N}_S + \mathcal{N}_I + \mathcal{N}_R = \rho \quad \text{and} \quad \mathcal{D}_S = \mathcal{D}_I = \mathcal{D}_R = \mathcal{D}$$

Important question: What are the reaction rates? Use *mass-action kinetics*

$$\mathcal{R}_i = K\mathcal{N}_S\mathcal{N}_I - \Lambda\mathcal{N}_I; \quad \mathcal{R}_S = -K\mathcal{N}_S\mathcal{N}_I; \quad \mathcal{R}_R = -\Lambda\mathcal{N}_I$$

Infection Rate

Recovery (or Perished) Rate

“SIR” model, but in terms of areal densities: appropriate for such process

# Notes

- $\Lambda$  is inverse {time} (14/Day): rate, on average, infected individuals recover or die.

- $K$  is inverse {time\*(number/area)}: frequency and contact (collisions).  $K$  increasing with density (infected and susceptible). Also, contagion is negligible below a certain density (e.g. corresponding to 6 ft). Therefore,

$$K = \begin{cases} 0; & \rho < \rho_0 \\ K_0 F\left(\frac{\rho - \rho_0}{\rho_1 - \rho_0}\right); & \rho_0 < \rho < \rho_1 \end{cases}$$

where  $F(x)$  is a linear function,  $F(0) = 0$ ,  $F(1) = 1$ ;  $\rho_0 = 0.1 \text{ m}^{-2}$  and  $\rho_1 = 1 \text{ m}^{-2}$ .

-Meaningless to provide area-wide averages (e.g. for states or countries) without differentiating on density (e.g. high density: urban, stadiums, schools, retirement homes; and low density: farms, rural).

-The diffusion coefficient assumes a random walk. For office work,  $D = 10^{-3} \frac{\text{m}^2}{\text{s}}$ , two orders of magnitude larger than molecular diffusion in gases.

# The Governing Equations

Make things *dimensionless*: Densities normalized by  $\rho$ , time by  $1/\Lambda$ , space by length  $l$ ,  $K$  by  $K_0$ , velocities by  $q$ . ( $s, i, r$  are normalized densities- “probabilities”)

$$\begin{aligned}\frac{\partial s}{\partial t} + (Da\mathbf{v} - C\nabla \ln \rho) \cdot \nabla s &= C\nabla^2 s - R_0(\rho, r)si \\ \frac{\partial i}{\partial t} + (Da\mathbf{v} - C\nabla \ln \rho) \cdot \nabla i &= C\nabla^2 i + R_0(\rho, r)si - i \\ \frac{\partial r}{\partial t} + (Da\mathbf{v} - C\nabla \ln \rho) \cdot \nabla r &= C\nabla^2 r - i \\ \frac{\partial \rho}{\partial t} + Da\mathbf{v} \cdot \nabla \rho &= 0\end{aligned}$$

Defined dimensionless numbers,  $Da = \frac{q}{\Lambda l}$  (Damkohler number),  $C = \frac{D}{\Lambda l^2} = \varphi^{-2}$  ( $\varphi$  is known as the Thiele modulus) and

$$R_0 = \frac{K_0}{\Lambda} \rho \kappa(\rho, r) \quad \kappa(\rho, r) = \begin{cases} 0; & \rho(1-r) < \rho_0 \\ K_0 F\left(\frac{\rho(1-r)-\rho_0}{\rho_1-\rho_0}\right); & \rho_0 < \rho(1-r) < \rho_1 \end{cases}$$

**$R_0$  dependence on density and extent of contagion**

# The important parameter $R_0$

1. From

$$\frac{\partial i}{\partial t} + (D\mathbf{a}\mathbf{v} - C\nabla \ln \rho) \cdot \nabla i = C\nabla^2 i + R_0(\rho, r)si - i$$

Initial rate is  $(R_0(\rho, 0) - 1)i$

Initial infection grows exponentially, if  $R_0(\rho, 0) > 1$ , or decays if  $R_0(\rho, 0) < 1$

2.  $R_0$  depends both on density  $\rho$  (number/area) and extent of contagion  $r$

$$R_0 = \frac{K_0}{\Lambda} \rho \kappa(\rho, r) \quad \kappa(\rho, r) = \begin{cases} 0; & \rho(1-r) < \rho_0 \\ K_0 F\left(\frac{\rho(1-r)-\rho_0}{\rho_1-\rho_0}\right); & \rho_0 < \rho(1-r) < \rho_1 \end{cases}$$

3.  $R_0(\rho, 0)$  decreases by decreasing  $\rho$  (spatial distancing), and/or  $K_0$  (facial covering, isolation of infected, increased air circulation, vaccination), or by increasing  $\Lambda$  (fast recovery)

4.  $R_0$  decreases with extent of contagion and has a final value (at corresponding  $R_0(\rho, 0)$ )

$$R_0(\rho, \infty) \approx R_0(\rho, 0)(1 - r_\infty)$$

# Results

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A. No entry or exit in or out, constant density, spatially uniform profiles: “Batch reactor” (SIR-like) model

- Infection Curves
- Herd Immunity
- Enforced health policies (e.g. spatial distancing, lockdown)
- Initial conditions; entry in the system for a finite time (“imported infection”)
- “Commuting”

B. Spatially variable interactions: effect of diffusion; infection waves



# A. The Batch Reactor (SIR-like) Problem

No spatial gradients; uniform mixing

$$\frac{\partial s}{\partial t} + (Da\mathbf{v} - C\nabla \ln \rho) \cdot \nabla s = C\nabla^2 s - R_0(\rho, r)si$$

$$\frac{\partial i}{\partial t} + (Da\mathbf{v} - C\nabla \ln \rho) \cdot \nabla i = C\nabla^2 i + R_0(\rho, r)si - i$$

$$\frac{\partial r}{\partial t} + (Da\mathbf{v} - C\nabla \ln \rho) \cdot \nabla r = C\nabla^2 r - i$$

$$\frac{\partial \rho}{\partial t} + Da\mathbf{v} \cdot \nabla \rho = 0$$

# A. The Batch Reactor (SIR-like) Problem (cont.)

Set of ordinary differential equations

$$s'(t) = -R_0(\rho, r)si$$

$$i'(t) = R_0(\rho, r)si - i$$

$$r'(t) = i$$

$$s + i + r = 1$$

Initial conditions

$$i(0) = i_0; s(0) \equiv s_0 = 1 - i_0; r(0) = 0$$

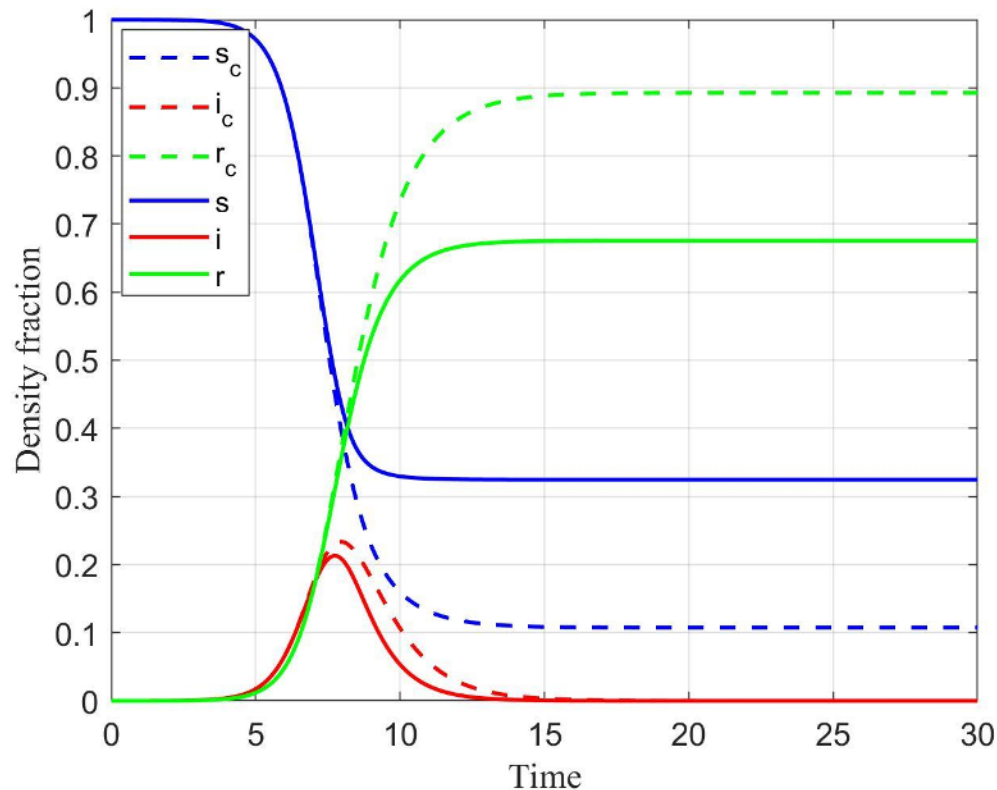
Solution requires an **initial (even if infinitesimal) seed**

Problem can be solved analytically (closed form expression)

# A. The Batch Reactor (SIR-like)

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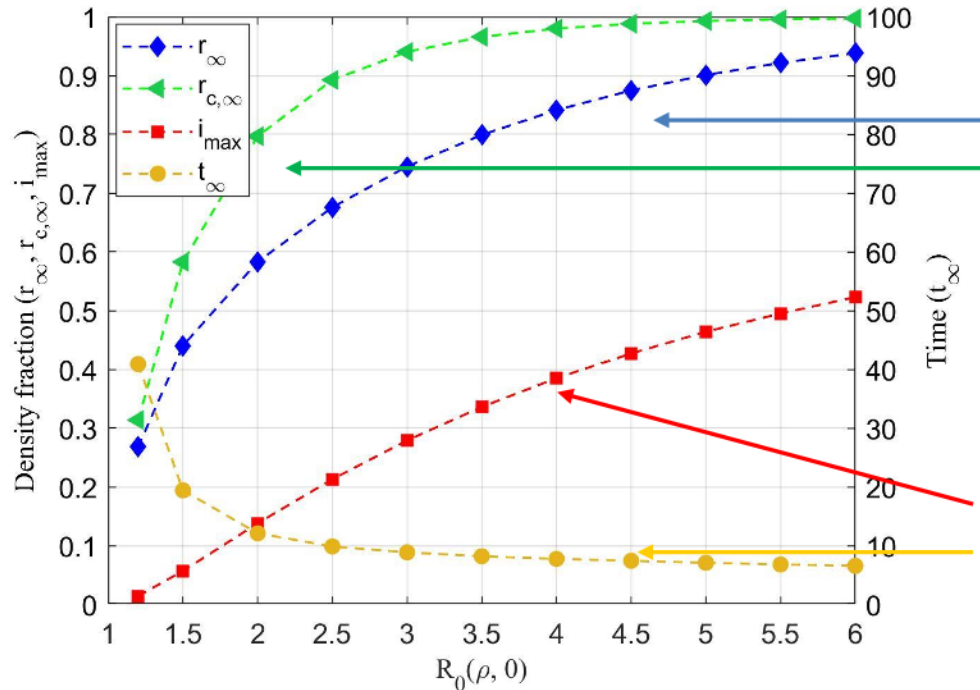
## Problem: Infection Curves



Infection Curves:  $R_0(\rho, r)$  (solid lines);  $R_0(\rho, r) = R_0(\rho, 0) = 2.5$  (dashed lines);  $i_0 = 10^{-5}$

# A. The Batch Reactor (SIR-like) USC Viterbi School of Engineering

## Problem: Effect of $R_0$



Herd immunity (variable  $R_0$ )  
Herd immunity (constant  $R_0$ )

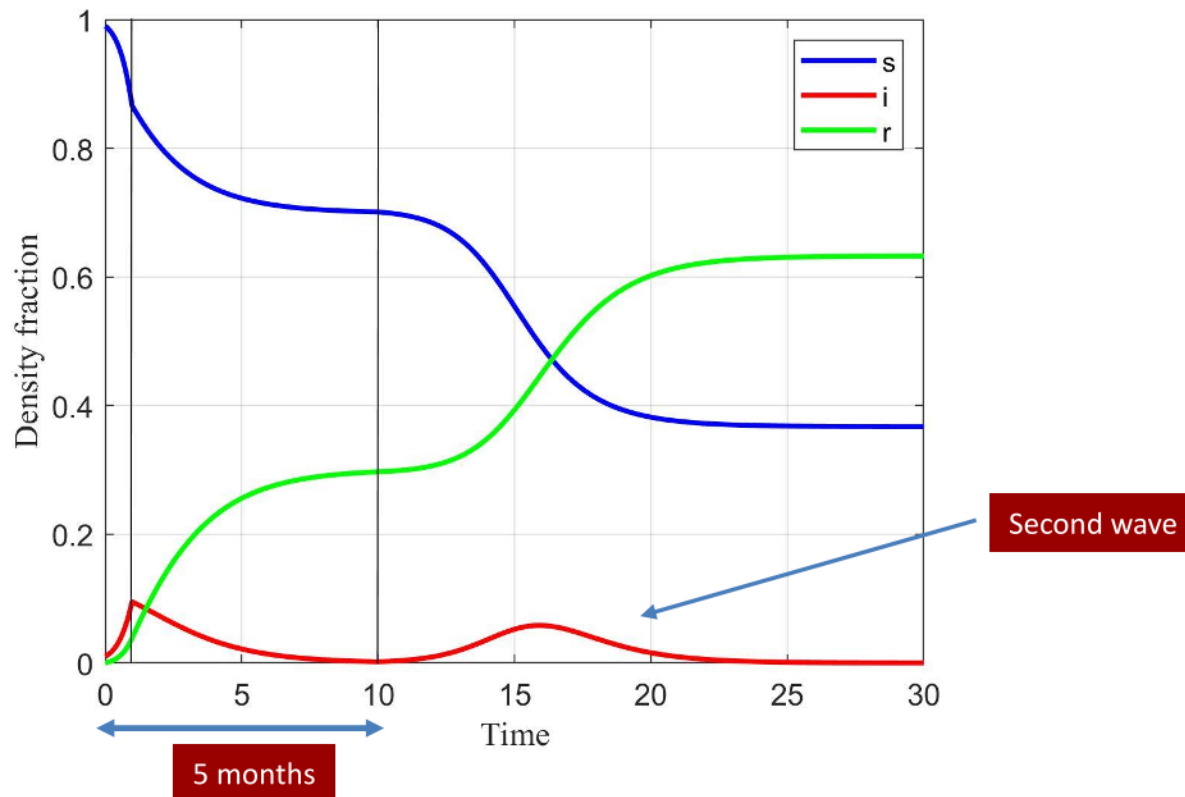
Maximum infection fraction  
Duration of infection  
( $t=10$  corresponds to 5 months)

1. Herd Immunity is a Function of  $R_0$ .
2. It always satisfies  $R_0(r_\infty)(1 - r_\infty) < 1$ , namely it is *stable to perturbations*, but **not to structural (i.e.  $R_0$ ) perturbations**.  $i'(t) = i\{R_0(\rho, \infty)(1 - r_\infty) - 1\} < 0$
3. Duration of epidemic is longer at lower infection rates.

# A. The Batch Reactor (SIR-like) USC Viterbi School of Engineering

## Problem: Effects of Policy

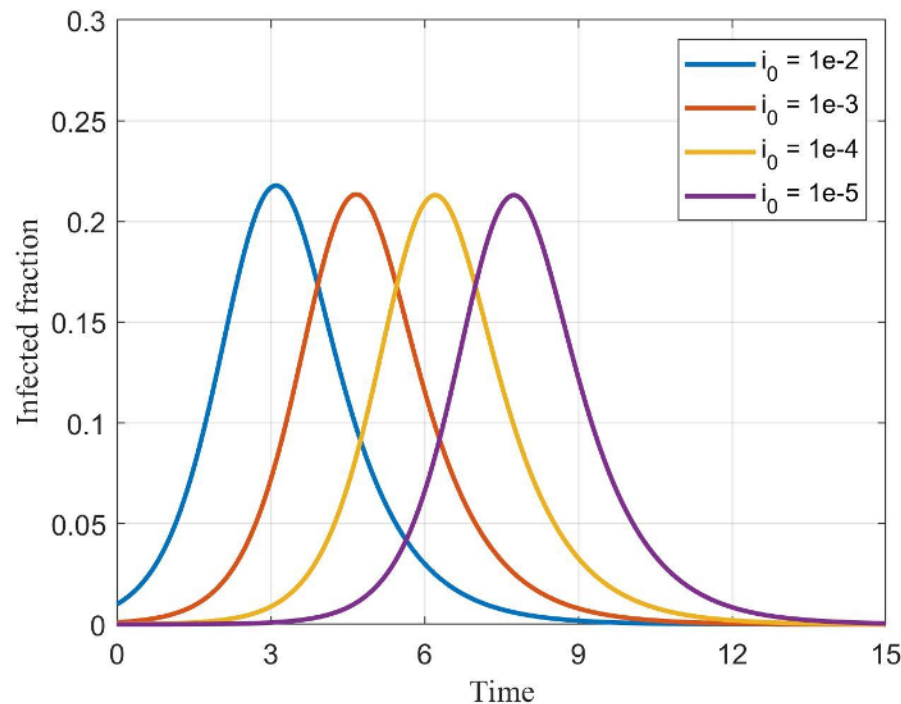
Variation of  $R_0$ , e.g. through policy (lock-down); effect of relaxing restrictions; and the emergence of “second” wave.



$$R_0(\rho, 0) = 3, t \in (0, 1), R_0(\rho, 0) = 0.8, t \in (1, 10), R_0(\rho, 0) = 3, t \in (10, 30)$$

# A. The Batch Reactor (SIR-like) USC Viterbi School of Engineering

## Problem: Effect of Initial Conditions



1. The effect of initial condition is to simply delay the onset of contagion, all else being equal ( $R_0(\rho, 0) = 2.5$ ). **Essentially, behavior is solely controlled by  $R_0$ .**
2. Similar is the effect of a *travel ban* on imported infections.
3. In either case, contagion is avoided **only if** public health policy results into  **$R_0(\rho, 0) < 1$ .**

# A. The Batch Reactor (SIR-like)

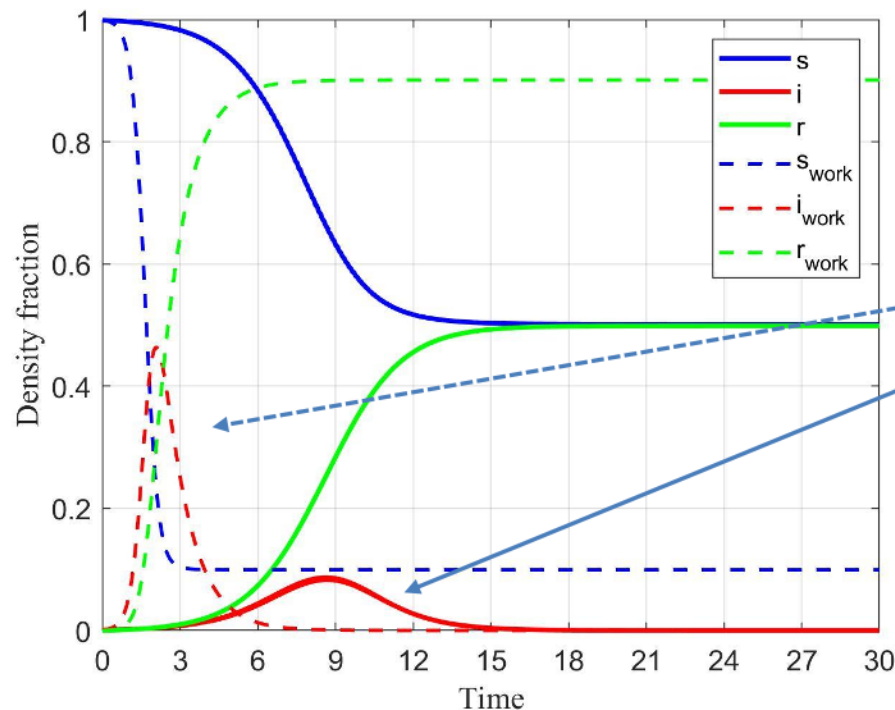
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## Problem: “Commute”

Home  
 $R_{0,h}(0) = 0$   
 Time =  $1 - \lambda$



Work  
 $R_{0,w}(0) = 5$   
 Time =  $\lambda$



$R_{0,w}(\rho, 0) = 5$   
 $R_{0,eff}(\rho, 0) = 1.66$   
 $\lambda = 1/3$

Commute between “home” and “work” (where  $R_{0,h}(\rho, 0) = 0$ , and  $R_{0,w}(\rho, 0) > 1$ ) leads to an effective  $R_{0,eff} = \lambda R_{0,w}(\rho, 0)$  (equal to the mean value- weighted by the fractional time of exposure  $\lambda$ ).

# B. Spatially variable interactions: Effects of diffusion

$$\begin{aligned}\frac{\partial s}{\partial t} + (D\mathbf{a}\mathbf{v} - C\nabla\ln\rho) \cdot \nabla s &= C\nabla^2 s - R_0(\rho, r)si \\ \frac{\partial i}{\partial t} + (D\mathbf{a}\mathbf{v} - C\nabla\ln\rho) \cdot \nabla i &= C\nabla^2 i + R_0(\rho, r)si - i \\ \frac{\partial r}{\partial t} + (D\mathbf{a}\mathbf{v} - C\nabla\ln\rho) \cdot \nabla r &= C\nabla^2 r - i \\ \frac{\partial \rho}{\partial t} + D\mathbf{a}\mathbf{v} \cdot \nabla \rho &= 0\end{aligned}$$

Focus on diffusion only

No advection: **Then,  $\rho$  is only a function of space (not time)**

Explore effects of diffusion on the onset and propagation of infection waves



# B. Spatially variable interactions: Traveling Waves

Constant  $\rho$ , 1-D, steady-states in coordinate  $\xi = x - Vt$ , where  $V$  is wave velocity

$$\begin{aligned} -V \frac{\partial \bar{s}}{\partial \xi} &= C \frac{\partial^2 \bar{s}}{\partial \xi^2} - R_0 \bar{s} \bar{i} & -\infty < \xi < \infty \\ -V \frac{\partial \bar{i}}{\partial \xi} &= C \frac{\partial^2 \bar{i}}{\partial \xi^2} + R_0 \bar{s} \bar{i} - \bar{i} & -\infty < \xi < \infty \\ -V \frac{\partial \bar{r}}{\partial \xi} &= C \frac{\partial^2 \bar{r}}{\partial \xi^2} + \bar{i} & -\infty < \xi < \infty \end{aligned}$$

No-flux conditions at the ends:  $\frac{\partial \bar{s}}{\partial \xi} = \frac{\partial \bar{i}}{\partial \xi} = \frac{\partial \bar{r}}{\partial \xi} = 0$  at  $\xi = \pm\infty$

Find

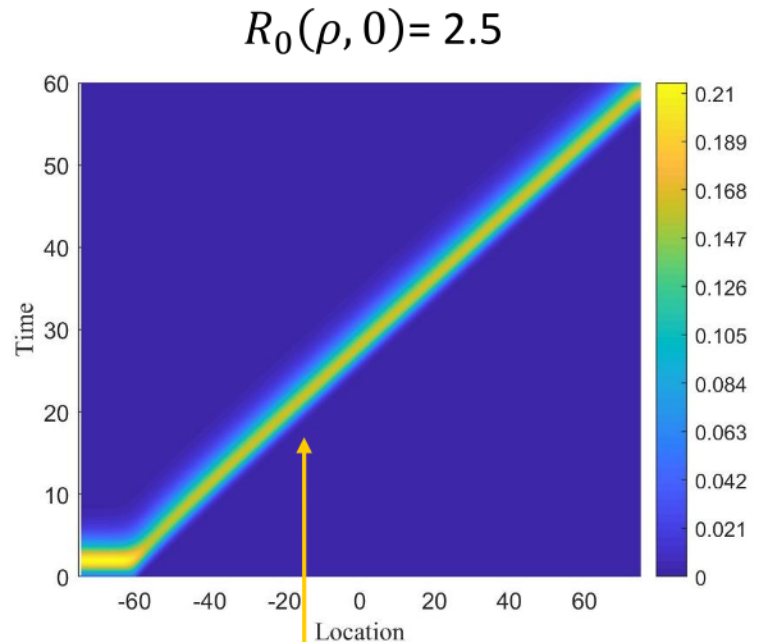
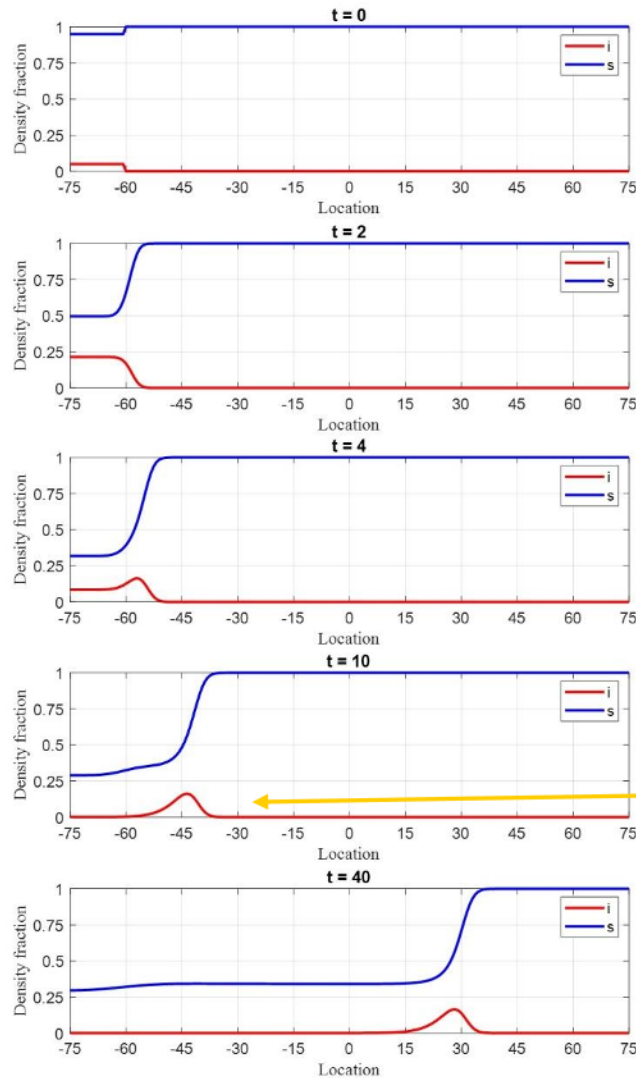
$$V = \frac{1}{r_{V,\infty}} \int_{-\infty}^{\infty} \bar{i} d\xi$$

- Questions: 1. Are the profiles the same as for the Batch (SIR) problem?  
2. And what is the effect of the diffusion coefficient  $C$ ?

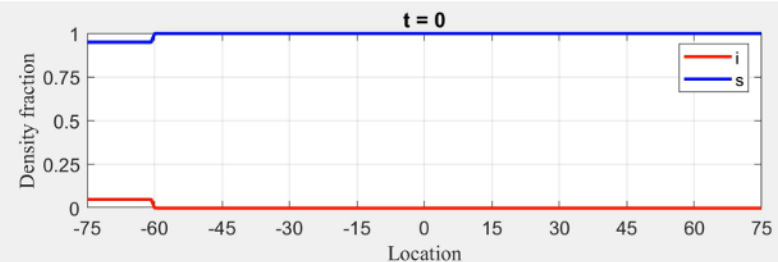
# B. Spatially variable interactions: USC Viterbi

## 1-D Contagion Waves

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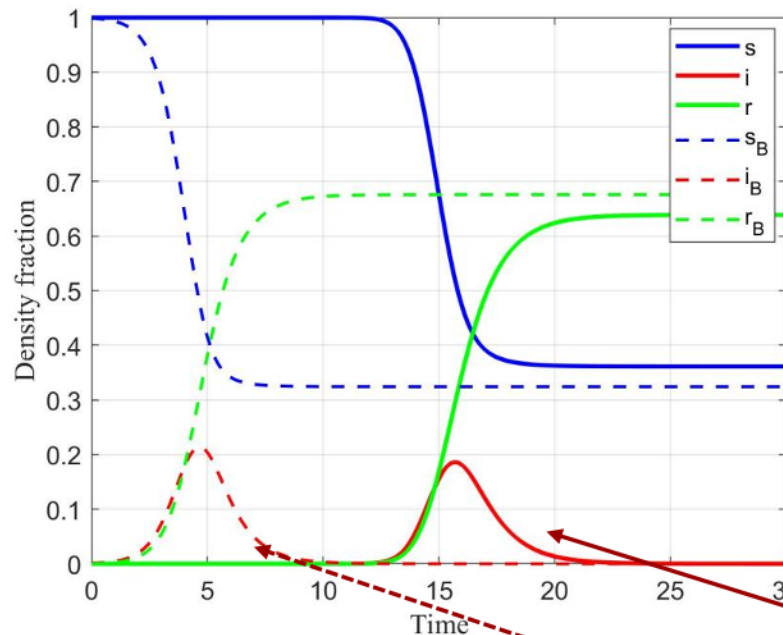


Infection Wave

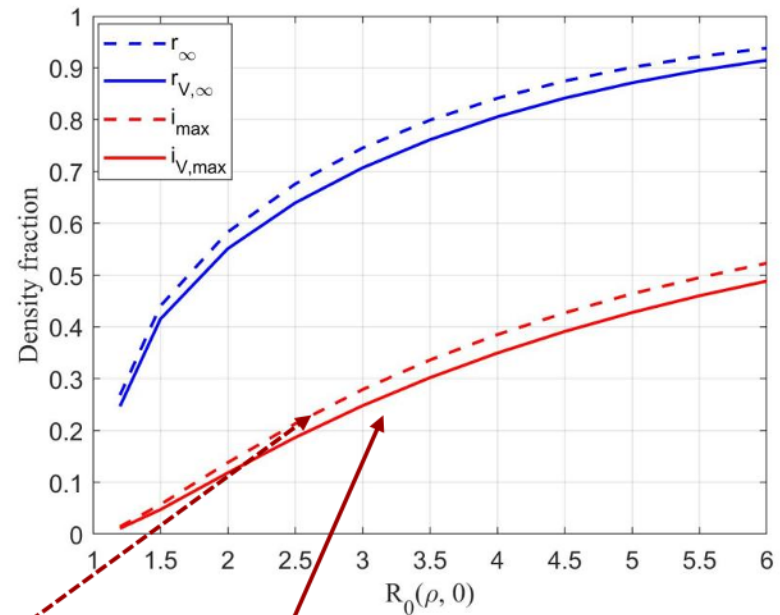


# B. Spatially variable interactions: USC Viterbi Comparison with batch “SIR” model

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$$R_0(\rho, 0) = 2.5$$



Batch (SIR) Problem; Diffusion Included

Effect of diffusion is to slightly lower the equivalent infection rates

# B. Spatially variable interactions: USC Viterbi School of Engineering

## Diffusion dependence

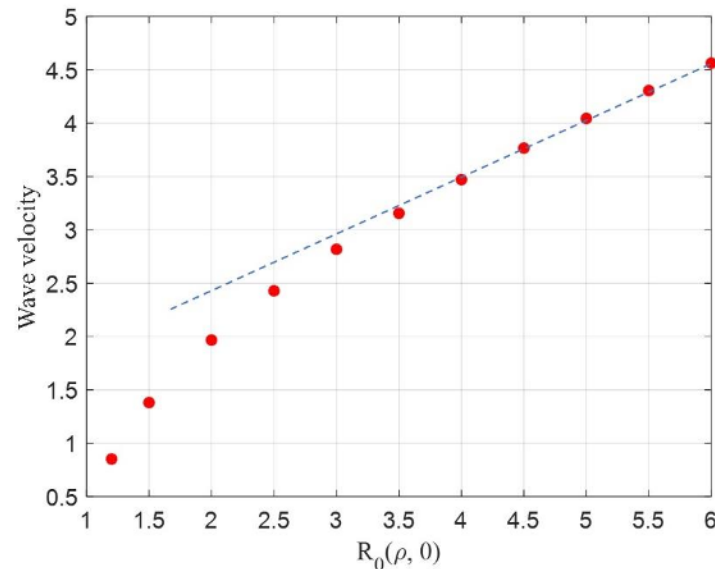
We can **explicitly remove the  $C$ -dependence** by introducing rescaled space coordinates and velocities,  $\xi = \sqrt{C}\zeta$  and  $V = W\sqrt{C}$ .

All equations remain the same, so we can formally take  $C = 1$  and derive results **independent of  $C$**

$$W = \frac{1}{r_{V,\infty}} \int_{-\infty}^{\infty} \bar{i}_1 d\zeta$$

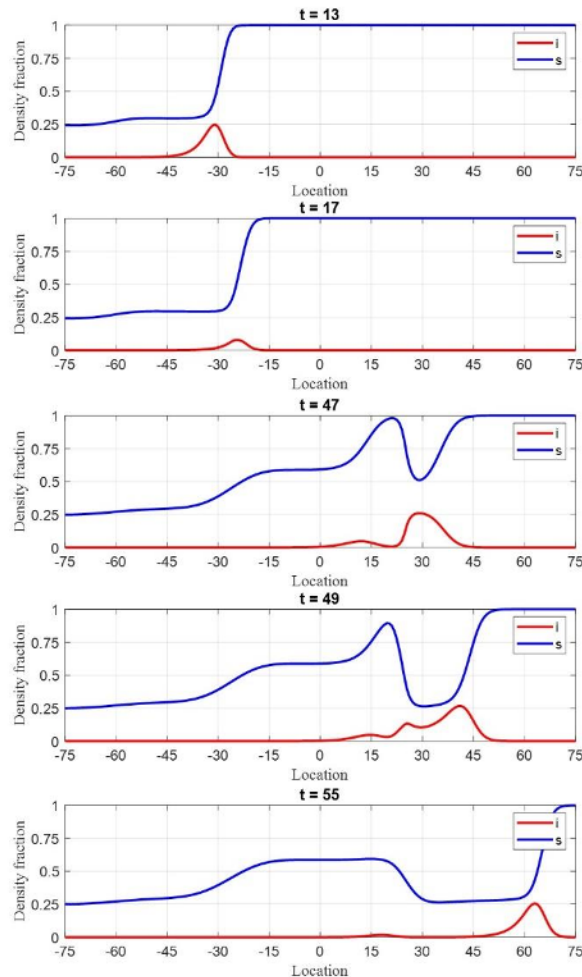
In dimensional form

$$\mathcal{V} = \sqrt{D\Lambda} \frac{1}{r_{V,\infty}} \int_{-\infty}^{\infty} \bar{i}_1 d\zeta$$

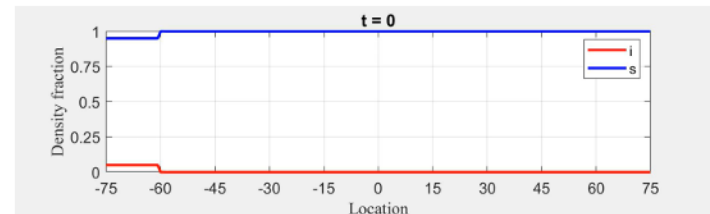
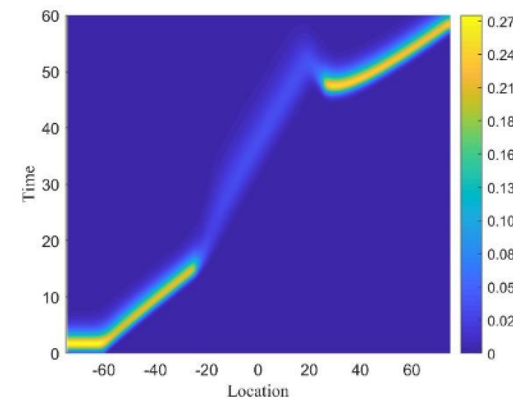


Wave velocity increases with the square root of  $D\Lambda$  and with  $R_0(\rho, 0)$   
Same results hold for radial symmetry geometries  
Diffusion and reaction lead to translational waves

# B. Spatially variable interactions: 1-D Heterogeneity



Variable density:  
 $R_0(\rho, 0) = 3$ , for  $x \in (-80, -25)$  and  $x \in (25, 80)$ ;  $R_0(\rho, 0) = 1.5$   
 for  $x \in (-25, 25)$ .

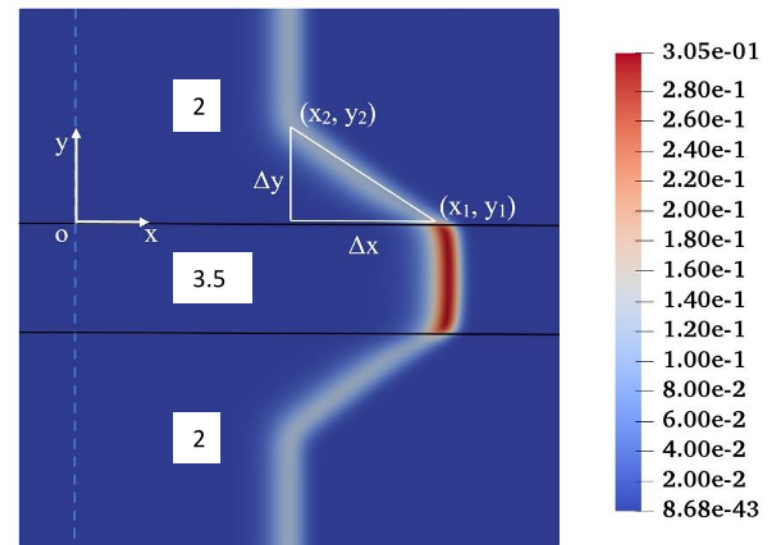


Wave velocities and profiles rapidly reach their steady-state values corresponding to the ambient  $R_0(\rho, 0)$

# B. Spatially variable interactions: 2-D geometries

Effect of 2-D heterogeneity in  $R_0(\rho, 0)$ : 1. Layered System

$R_0(\rho, 0) = 2$  in the outer layers, and  $R_0(\rho, 0) = 3.5$  in the inner layer.

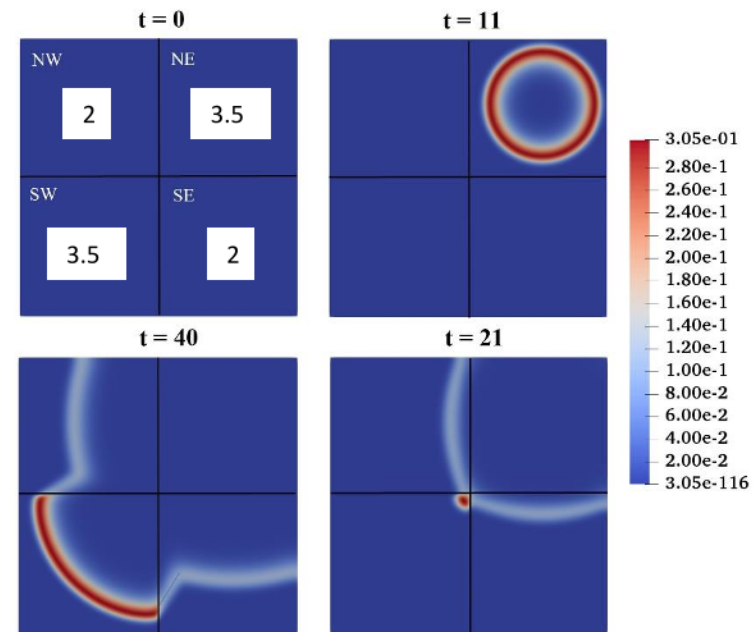
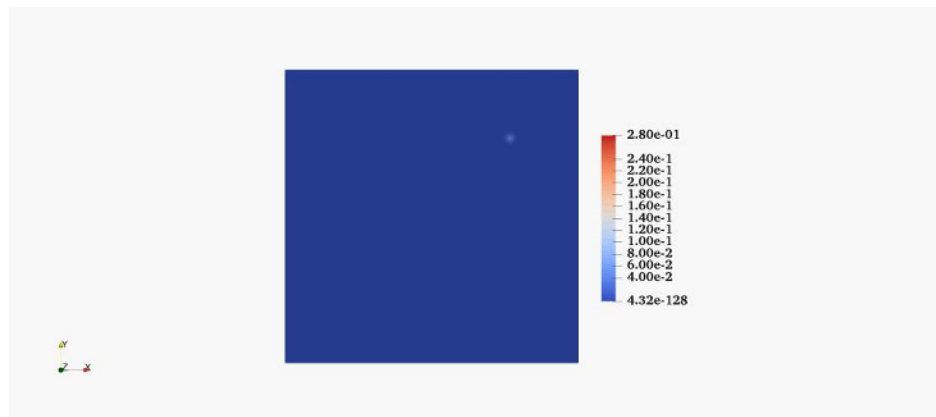


As in 1-D, wave velocities and profiles rapidly reach their steady-state values corresponding to the ambient  $R_0(\rho, 0)$ . Connecting wave-fronts are linear.

# B. Spatially variable interactions: 2-D geometries

Effect of 2-D heterogeneity in  $R_0(\rho, 0)$ : 2. 4- Quadrant System

$R_0(\rho, 0) = 2$  in NW and SE, and  $R_0(\rho, 0) = 3.5$  in NE and SW



As in layered system, wave velocities and profiles rapidly reach their steady-state values corresponding to the ambient  $R_0(\rho, 0)$ . Connecting wave-fronts are linear.



# Concluding Remarks

- Understanding of the spreading of epidemics can benefit substantially from reaction-diffusion analogies.
- Important to model in terms of spatial densities.
- Kinetics can naturally incorporate spatial distancing.
- Important variable  $R_0(\rho, r)$  is a function of spatial density and process extent
- SIR-like model results as the Batch Reactor equivalent.
- Herd immunity is a function of  $R_0(\rho, 0)$ . It is a useful concept only when  $R_0(\rho, \infty)$  does not change.
- The effect of initial conditions is only relevant as long as it provides time for policies to reduce  $R_0(\rho, 0)$ .
- Relatively rapid fluctuations in  $R_0$  result into an effective value equal to the mean.
- Diffusion is necessary to initiate propagating infection waves.
- The wave velocity scales with the square root of diffusion coefficient and the inverse recovery time, and increases almost linearly with  $R_0(\rho, 0)$ .
- In 2-D heterogeneous systems, the wave solutions rapidly approach the asymptotic states corresponding to the ambient  $R_0(\rho, 0)$ .
- While here restricted to three species, the approach applies to additional species and demographics.